

Kansas Racing and Gaming Commission



Level III Personal Disclosure

**Kansas Racing and Gaming Commission
700 SW Harrison, Suite 500
Topeka, KS 66603-3754
Phone: 785-296-5800
Fax: 785-296-0900**

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LEVEL III PERSONAL DISCLOSURE

Instructions

All information on the *Level III Personal Background Disclosure* form shall be typed or printed legibly in **black ink**, except initials and signatures. The applicant must use **blue ink** when signing, dating or initialing any page of the application. All forms must be properly signed and notarized.

No applicant for certification shall provide false or misleading information on any application form or to any commission staff. The applicant should respond to the questions on the forms to the best of the applicant's knowledge. Any material omission is grounds for denial.

KEY PERSONS:

The *Business Entity Disclosure* (Form 550-01) requires all Key Persons owning at least 0.5% but less than 5% of an interest in a company or corporation that is an applicant for certification from the Kansas Racing and Gaming Commission.

FORMS:

These Key Persons are required to submit the following documents to the Commission's Licensing Division in Topeka (mailing address at the end of these instructions):

- An original *Level III Personal Background Disclosure* (Form 553-01) and any documents supporting the form.
- A passport sized color photograph taken within the past six months.
- Digitally scanned fingerprints or two sets of completed applicant fingerprint cards.
- Identification as described below.

IDENTIFICATION REQUIREMENTS:

Each Key Person shall identify themselves to commission staff by presenting the following valid identification:

- A current and valid U.S. passport or certification of naturalization or a current identification card issued by the INS containing a photograph or fingerprints and containing identification information including name, date of birth, sex, height, color of eyes and address.
- If the previous documentation is not available a student who is a citizen of another country with a J-1 authorization shall present the appropriate signed J-1 authorization document and a valid and current foreign passport with the United States citizenship and immigration stamp attached therein.
- If none of the previous documentation is available the applicant shall present one of following:
 - Current and valid state issued driver's license that has a photograph;

- Current and valid identification card issued to persons who serve in the U.S. military, or their dependents, that has a photograph and/or other identifying information;
 - Current and valid school identification card containing a photograph, expiration date, the seal or logo of the issuing institution and the signature of the card holder; or
 - Current and valid identification card issued by a federal, state or local government agency that has a photograph
- If the Key Person is not a citizen of the United States and cannot provide any of the identification previously specified, the applicant shall provide identification showing a country identification number from the applicant's country of citizenship.
 - If the name on the disclosure is different from the name on the identification the applicant shall provide a marriage certificate, divorce decree, court order granting a petition for name change.
 - The commission may require supplemental identification.

CHANGES IN APPLICATION INFORMATION AND EMPLOYMENT STATUS:

Each applicant and licensee has the duty to provide current information on the application and to submit any changes in application information to the commission licensing office within 11 days of the change. Each applicant and licensee has the duty to comply with all requirements of the Kansas Expanded Lottery Act and K.A.R. 112-100-1 *et. seq.*

SUPPORTING DOCUMENTATION

Please provide a photo copy of your current driver's license.

FINGERPRINT CARDS

Each applicant is required to submit two completed blue and white Applicant Fingerprint Cards along with the Personal Background Disclosure Form. These fingerprint cards are commonly available from local law enforcement agencies. Please have the law enforcement agency assist in fingerprinting and completing the cards.

BE SURE TO DATE AND SIGN ANY ATTACHED RELEASE FORMS.

**RELEASE FORMS AND FINGERPRINT CARDS MUST
BE FILED WITH THIS DISCLOSURE FORM.**



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AUTHORIZATION OF RELEASE

I hereby authorize and request any former and present employer, creditor, bank, savings and loan, credit union, finance company, mortgage company, credit card company, credit reporting agency, collection agency, school, college, university, agencies in the criminal justice system or any other person, company or corporation to release any and all information and documentation relating to my employment, evaluations, credit, financial condition, financial information, school activities, grades, degrees, character, integrity, criminal history including expunged records and any other information whatsoever to any agent or agents of the Kansas Bureau of Investigation, the Kansas Racing and Gaming Commission or other person designated by the commission.

Please check here if you:

- (1) are a current resident of California, Minnesota or Oklahoma;
- (2) are applying for employment with the Kansas Racing and Gaming Commission; and
- (3) would like to receive a copy of your consumer report directly from TransUnion, LLC.

Signature

Printed Name

Social Security Number

Date

Subscribed and sworn to before me this _____ day of _____, 20____.

Notary

[Seal]