

# **Kansas Racing and Gaming Commission**



## **Level III Racetrack Occupation License Application**

**Kansas Racing and Gaming Commission  
700 SW Harrison, Suite 500  
Topeka, KS 66603-3754  
Phone: 785-296-5800  
Fax: 785-296-0900**

# Kansas Racing and Gaming Commission

## LEVEL III RACETRACK OCCUPATION LICENSE APPLICATION

### Instructions

All information on the enclosed forms shall be typed or printed legibly in **black ink**, except initials and signatures. The applicant must use **blue ink** when signing, dating or initialing any page of the application. All forms must be properly signed and notarized. No applicant shall provide false information on any application form or to any Commission staff.

#### **LEVEL III CRITERIA:**

Each individual who regularly assumes duties in any other capacity than those requiring a Level I or Level II Racetrack Occupation License for a facility manager/owner licensee or organization licensee, or any individual proposing to work at a racetrack facility as Racing Industry personnel, such as owners, trainers, jockeys, etc. must submit the following unless otherwise directed.

- A *Racetrack Occupation License Application*, appropriate license fees and any documents required to meet qualifications for the type of licensure
- Digitally scanned fingerprints or two sets of completed blue and white applicant fingerprint cards
- Identification as described below

#### **FEES:**

Each occupation license application shall be submitted with the \$50 fingerprint fee, if required, and the occupation license fee as indicated in the Licensing Section/Parimutuel Licensing on the Commission's website [www.ksracing.org](http://www.ksracing.org).

#### **FORMS:**

Employees of a racetrack facility must submit the following documents to the racetrack facility's human resources office, which shall verify the completeness of the form and forward to a Commission licensing office. All other applicants shall submit the following documents to a Commission licensing office.

- An original *Racetrack Occupation License Application*
- Appropriate fees
- Digitally scanned fingerprints or two sets of completed applicant fingerprint cards
- Identification as described below

## **IDENTIFICATION REQUIREMENTS:**

Each applicant shall identify themselves to Commission staff by presenting the following identification:

- A current and valid U.S. passport or certification of naturalization or a current identification card issued by the INS containing a photograph or fingerprints and containing identification information including name, date of birth, sex, height, color of eyes and address.
- If the previous documentation is not available a student who is a citizen of another country with a J-1 authorization shall present the appropriate signed J-1 authorization document and a valid and current foreign passport with the United States citizenship and immigration stamp attached therein.
- If none of the previous documentation is available the applicant shall present one of following:
  - Current and valid state issued driver's license that has photograph;
  - Current and valid identification card issued to persons who serve in the U.S. military, or their dependents, that has a photograph and/or other identifying information;
  - Current and valid school identification card containing a photograph, expiration date, the seal or logo of the issuing institution and the signature of the card holder; or
  - Current identification card issued by a federal, state or local government agency that has a photograph
- If the applicant is not a citizen of the United States and cannot provide any of the identification previously specified, the applicant shall provide identification showing a country identification number from the applicant's country of citizenship.
- If the name on the application is different from the name on the identification the applicant shall provide a marriage certificate, divorce decree, court order granting a petition for name change.
- The commission may require supplemental identification.

## **CHANGES IN APPLICATION INFORMATION AND EMPLOYMENT STATUS:**

Each applicant and licensee has the duty to provide current information on the application and to submit any changes in application information to the commission licensing office within 11 days of the change. Each licensing office and each facility's human resources office shall have available a **Personal Change Notice/Update to Information** form available to file changes in application information.

Each licensee has the responsibility to immediately notify the Commission licensing office of changes in employment status, including termination. If a licensee's employment terminates, any Commission issued badge shall be returned to the Commission licensing office.

Each applicant and licensee has the duty to comply with requirements of the Kansas Parimutuel Racing Act and regulations.

<b>For Office Use Only:</b> Lic. No. _____ Date _____ Lic. Fee _____ FP Year _____ FP Fee _____ <input type="checkbox"/> Cash _____ <input type="checkbox"/> Charge _____ <input type="checkbox"/> Check # _____ <input type="checkbox"/> Facility Bill _____	<h2 style="margin:0;">Kansas Racing and Gaming Commission</h2> <h3 style="margin:0;">Racetrack Occupation License Application</h3> <p style="margin:0;"><b>PLEASE USE BLACK INK AND PRINT CLEARLY</b></p> <p style="margin:0;"><b>ANSWER ALL QUESTIONS OR, IF NOT APPLICABLE, SO STATE.</b></p>	<b>For Office Use Only:</b> Rulings on File? <input type="checkbox"/> YES <input type="checkbox"/> NO Date Check _____ Kennel No. _____ Lic. Exp. Date: 12-31-2008 Facility _____ Clerk _____ KRGC Review: _____
---	---	---

**ALL APPLICANTS MUST BRING PHOTO IDENTIFICATION BEFORE OBTAINING A LICENSE BADGE.**

**SOME TYPES OF LICENSES REQUIRE TESTING OR INTERVIEWING BEFORE LICENSING.**

**PROVIDING FALSE INFORMATION ON THIS APPLICATION MAY RESULT IN CRIMINAL PROSECUTION.**

<b>1. License Level</b> <input type="checkbox"/> <b>One Year</b> <input type="checkbox"/> <b>County Fair</b>	<b>1a. SSN</b> _____ <b>1b. Type of License</b> _____
---	--

Your Social Security Number (SSN) is requested pursuant to K.S.A. 74-139. Your SSN will be used by licensing, law enforcement personnel, the director of taxation, and the national racing/gaming database to determine eligibility for licensure and detect violations of law or racing/gaming regulations. Failure to provide your SSN may result in denial of your application.

<b>2a. Legal Name</b>	(Last)	(First)	(Middle)	(Maiden)	
<b>2b. Spouse's Name</b>	(Last)	(First)	(Middle)		
<b>3. Nickname, Alias, Other Names Used</b>	<b>4a. Date of Birth</b>		<b>4b. Place of Birth</b>		<b>4c. Age</b>
	<b>4d. Sex</b>	<b>4e. Race</b>	<b>4f. Height</b>	<b>4g. Weight</b>	<b>4h. Eyes</b>
<b>5a. Permanent mailing address at which service of papers may be made:</b>	(Street Address)		(City)	(State)	(Zip)
<b>5b. Current or local address, if different:</b>	(Street Address)		(City)	(State)	(Zip)
<b>6a. Home Phone</b>	<b>6b. Business Phone</b>		<b>6c. Cell Phone</b>		<b>6d. Fax</b>

- 7a.  **YES**     **NO**    Are you a United States citizen? If **NO**, indicate country of citizenship \_\_\_\_\_.
- 7b.  **YES**     **NO**    Do you have an Alien Registration No. \_\_\_\_\_? If **YES** provide a copy of your identification card and any documentation of employment authorization to be employed in the United States.

**8. IMPORTANT! In answering the following, you must disclose all records, including expunged records.**

- YES**     **NO**    Have you ever been convicted of a felony?
- YES**     **NO**    Have you ever been adjudicated as a juvenile of an act that would be a felony if committed by an adult?
- YES**     **NO**    Have you ever been convicted of a violation of any gambling laws?
- YES**     **NO**    Have you ever been adjudicated as a juvenile of an act that would be a violation of gambling law if committed as an adult?
- YES**     **NO**    Have you ever been convicted of a violation of any controlled substance law?
- YES**     **NO**    Have you ever been adjudicated as a juvenile of an act that would be a violation of any controlled substance law if committed by an adult?
- YES**     **NO**    Have you ever committed two or more acts of violence within the past two years, as established by any court?
- YES**     **NO**    Have you ever failed to meet any monetary or tax obligation to the federal government, or any state and local government, whether or not relating to the conduct or operation of a race meet held in this state or any other jurisdiction?
- YES**     **NO**    Do you have any criminal charges pending against you in any jurisdiction?

If you answered **YES** to any of these questions, provide the following information and attach additional pages if necessary:

Date of Order	County	State	Nature of Crime/Offense	Disposition

9.  **YES**     **NO**    Have you been licensed by any racing or gaming jurisdiction? If **YES**, list the four most recent licenses.

State/Jurisdiction	Year	License Occupation	State/Jurisdiction	Year	License Occupation

10.  **YES**     **NO**    Have you ever (1) been excluded, expelled, ruled off or denied privileges at a racetrack or casino; (2) withdrawn your application for a license; (3) been refused or denied a license; (4) had your license suspended for 10 days or more; (5) paid a fine of \$500 or more; or (6) had your license revoked? If **YES**, provide the following information:

Date	State/Jurisdiction	Disposition (Fine, Suspension, etc.)	Restored to Good Standing?
			<input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>
			<input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>
			<input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>

